

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

3428-005

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)

08/973303

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP97/01590	March 27, 1997	March 28, 1996

TITLE OF INVENTION

PROTEIN WITH DIFFERENTIATION-INDUCING ACTIVITY FOR FRIEND'S ERYTHROLEUKEMIA CELL LINES

APPLICANT(S) FOR DO/EO/US

Peter DÖRMER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendment has NOT expired.
 - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment
 A **SECOND** or **SUBSEQUENT** preliminary amendment
14. A substitute specification.
15. A change of power of attorney and/or address letter
16. Other items or information
SEQUENCE LISTING
INTERNATIONAL SEARCH REPORT

U.S. APPLIC. NO. (if known, see 37 CFR 1.50)	INTERNATIONAL APPLICATION NO. PCT/EP97/01590	ATTORNEY'S DOCKET NUMBER 3428-005			
		CALCULATIONS			
17. [X] The following fees are submitted:		PTO USE ONLY			
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$930.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$720.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$790.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1070.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$98.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 930.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e))		\$			
Claims	Number Filed	Number Extra	Rate		
Total Claims	35 -20 =	15	x \$22.00	\$ 330.00	
Independent Claims	5 -3 =	2	x \$82.00	\$ 164.00	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$	
		TOTAL OF ABOVE CALCULATIONS =	\$ 1424.00		
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).			\$		
		SUBTOTAL =	\$ 1424.00		
Processing fee of \$130.00 for furnishing the English translation later than the [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+	\$		
		TOTAL NATIONAL FEE =	\$ 1424.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+	\$ 40.00		
		TOTAL FEES ENCLOSED =	\$ 1464.00		
		Amount to be refunded	\$		
		charged	\$		
a. [] A check in the amount of \$ to cover the above fees is enclosed.					
b. [X] Please charge my Deposit Account No. <u>12-2237</u> in the amount of \$ <u>1464.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>12-2237</u> . A duplicate copy of this sheet is enclosed					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO		<i>Frank P. Presta by Robert J. Trull, Reg.</i> No. 27685			
LOWE PRICE LEBLANC & BECKER 99 Canal Center Plaza, Suite 300 Alexandria, VA 22314 (703) 684-1111		SIGNATURE Frank P. Presta NAME 19,828 REGISTRATION NUMBER November 28, 1997 DATE			